UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN FRANCISCO DIVISION IN RE OPTICAL DISK DRIVE PRODUCTS No. 3:10-md-2143 RS ANTITRUST LITIGATION JOINT STATEMENT DATE ACTION FILED: Oct. 27, 2009 This Document Relates to: **ALL ACTIONS**

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In accordance with the Court's Order Denying Motions for Class Certification (Oct. 3, 2014) (Dkt. No. 1444) ("Order"), the parties hereby submit jointly a report as to their respective positions on how *In re Optical Disk Drive Prods*. *Antitrust Litigation* should proceed from the juncture at which the Court issued its Order:

A. Class Plaintiffs' Position

Direct Purchaser Plaintiffs ("DPPs") and Indirect Purchaser Plaintiffs ("IPPs") separately, filed petitions with the United States Court of Appeals for the Ninth Circuit seeking permission to appeal the Order pursuant to Fed. R. Civ. P. 23(f) ("Petitions"), attached hereto as Exs. A and B (without appendices). If the Ninth Circuit's past practice is indicative of the timing of its expected decisions on the pending Petitions, DPPs and IPPs will know in a few months' time whether their appeals will be taken. These decisions will significantly affect class plaintiffs' position on future proceedings. DPPs and IPPs are also negotiating with all interested parties a protective order for the production of certain FBI recordings made as part of the U.S. Department of Justice's investigation of the ODD industry. Magistrate Judge Spero at a discovery hearing on October 17, 2014 made clear that he would like the parties to resolve any disputes with respect to a supplemental protective order concerning the tapes within two weeks, and the recordings produced. The recordings and the verbatim transcripts thereof are likely probative evidence and also may affect the class plaintiffs' position on how the ODD litigation should proceed. Given the short intervals of time until the expected decision from the Ninth Circuit as well as entry of a protective order by Magistrate Judge Spero, class plaintiffs request that the Court set a Case Management Conference for the end of January. At that time the parties will be better informed to set forth a plan for the case to proceed.

B. State of Florida's Position

Florida agrees with the Class Plaintiffs' position.

C. Defendants' Position

In response to the Order, counsel for both the Direct and Indirect Purchaser Plaintiffs filed Petitions under Fed. R. Civ. P. 23(f) asking the Ninth Circuit Court of Appeals to review the Court's denial of their motions for class certification. Both Petitions reflect an effort to reargue Plaintiffs'

motions, and do not meet the narrow criteria and high standard required by the Ninth Circuit for permitting interlocutory review under Rule 23(f). Defendants will be opposing the Petitions.

In the meantime, Defendants believe that other aspects of this litigation – which include the claims of plaintiffs not subject to the petitions – should proceed while the petitions are pending and regardless of their disposition. Thus, Defendants believe that the next order of business is to devise a further case management order that provides cut-off dates for merits (i.e., non-expert) discovery, submission of expert reports, and expert discovery, and a briefing schedule for summary judgment motions. This has been done before in other multi-district antitrust actions in this District of similar scope, and that experience can inform this process.

Defendants request that the Court provide the parties fourteen (14) days in which to confer regarding deadlines and to present either a joint scheduling order or a further joint report setting forth their respective proposed schedules for the remainder of this multi-district litigation. Defendants disagree with Plaintiffs' request for what amounts to an informal stay of any case management deadlines until at least "the end of January." This type of delay in the proceedings is inconsistent with Rule 23(f), which provides that "[a]n appeal does not stay proceedings in the district court" absent court order. However, if the Court is inclined to grant Plaintiffs' request for an informal stay until the end of January, then Defendants believe that *all* discovery should be formally stayed until that time. It is burdensome, expensive and unfair for Defendants to be subject to limitless discovery while Plaintiffs ask this Court to put off any case management deadlines or end-dates.¹

D. Direct Action Plaintiffs' Position

Direct Action Plaintiffs ("DAPs") agree that the case should continue to move forward with motion practice and discovery. DAPs support Class Plaintiffs' request for a Case Management Conference at the end of January 2015, for the reasons set forth by the Class Plaintiffs, and request

Plaintiffs' reference to the potential production of "FBI recordings" from DOJ's grand jury investigation is immaterial to this joint report. The parties have not reached any agreement on the terms of a protective order governing those materials, which Judge Spero made a prerequisite to any production, and resolution of that discovery issue does not support a three month stay of these actions. In any event, Defendant TSSTK is presently reviewing Judge Spero's October 17, 2014 ruling denying its Motion to Quash Plaintiffs' Subpoena to DOJ for the production of these grand jury materials, and is considering the filing of an Objection to that order under Federal Rule of Civil Procedure 72(a).

1	the Court to direct the parties to confer reg	garding deadlines and to present a joint scheduling order or
2	proposals in advance of any Case Manage	ement Conference.
3	DATED: October 23, 2014	HAGENS BERMAN SOBOL SHAPIRO LLP
4	DATED. OCTOBER 23, 2014	HAGENS BERMAN SOBOL SHAFIKO ELI
5		By <u>/s/ Jeff D. Friedman</u> JEFF D. FRIEDMAN
6		
7		Shana E. Scarlett (217895) 715 Hearst Avenue, Suite 202 Berkeley, CA 94710
8		Telephone: (510) 725-3000 Facsimile: (510) 725-3001
9		jefff@hbsslaw.com shanas@hbsslaw.com
10		Steve W. Berman (<i>Pro Hac Vice</i>)
11		HAGENS BERMAN SOBOL SHAPIRO LLP 1918 Eighth Avenue, Suite 3300
12		Seattle, WA 98101 Telephone: (206) 623-7292
13		Facsimile: (206) 623-0594 steve@hbsslaw.com
14		Interim Lead Counsel for Indirect
15		Purchaser Plaintiffs
16	DATED: October 23, 2014	SAVERI & SAVERI. INC.
17	DITTED: October 23, 2011	SHVERICE SHVERICE
18		By /s/ Cadio Zirpoli
19		CADIO ZIRPOLI
20		Guido Saveri (22349) R. Alexander Saveri (173102)
21		Cadio Zirpoli (179108) 706 Sansome Street
22		San Francisco, CA 94111
23		Telephone: (415) 217-6810 Facsimile: (415) 217-6813
24		guido@saveri.com rick@saveri.com
25		cadio@saveri.com
26		Interim Lead Counsel for Direct
27		Purchaser Plaintiffs
28		

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JOINT REPORT - Case No.: 3:10-md-2143 RS

1	DATED: October 23, 2014	OFFICE OF THE ATTORNEY GENERAL STATE OF FLORIDA
2		By/s/Lizabeth A. Brady
3		LIZABETH A. BRADY
4		Nicholas J. Weilhammer
5		R. Scott Palmer PL-01, The Capitol
6		Tallahassee, Florida 32399 Tel: 850-414-3300
7		Fax: 850-488-9134
8		Liz.Brady@myfloridalegal.com Nicholas.Weilhammer@myfloridalegal.com
9		Scott.Palmer@myfloridalegal.com
10		Attorneys for the State of Florida
11	DATED: October 23, 2014	ALSTON & BIRD LLP
12		
13		By <u>/s/ Rodney J. Ganske</u> RODNEY J. GANSKE
14		1201 W. Peachtree Street NW
15		Atlanta, Georgia 30309 TEL: (404) 881-7000
16		FAX: (404) 881-7777 rod.ganske@alston.com
17		DAP Liaison Counsel
18		Attorney for Plaintiffs Dell Inc. and Dell Products L.P.
19	DATED: October 23, 2014	LATHAM & WATKINS LLP
20		
21		By <u>/s/ Belinda Lee</u> BELINDA S LEE
22		505 Montgomery Street, Suite 2000
23		San Francisco, CA 94111 Telephone: (415) 395-8240
24		Facsimile: (415) 395-8095 belinda.lee@lw.com
25		Counsel for Defendants Toshiba Samsung Storage
26		Technology Korea Corporation, Toshiba Samsung
27		Storage Technology Corporation, Toshiba America Information Systems, and Toshiba Corporation
28		
	JOINT REPORT - Case No.: 3:10-md-2143 RS	

1		
	DATED: October 23, 2014	WINSTON & STRAWN LLP
2		
3		By <u>/s/ Robert B. Pringle</u> ROBERT B. PRINGLE
4		101 California Street
5		San Francisco, CA 94111-5894 Tel: 415-591-1000
6		Fax: 415-591-1400
7		rpringle@winston.com
8		Attorneys for Defendant NEC Corporation
9		
10	DATED: October 23, 2014	ROPES & GRAY LLP
11		By <u>/s/ Mark S. Popofksy</u> MARK S. POPOFSKY
12		
13		One Metro Center 700 12th Street NW, Suite 900
14		Washington, DC 20005-3948 Tel: 202-508-4600
15		Fax: 202-508-4650 mark.popofsky@ropesgray.com
16		Attorneys for Defendants Hitachi-LG Data Storage, Inc.
17		and Hitachi-LG Data Storage Korea, Inc.
18	DATED: October 23, 2014	BAKER BOTTS LLP
19		By /s/ Evan Werhel
20		By <u>/s/ Evan Werbel</u> EVAN WERBEL
21		1299 Pennsylvania Ave. NW Washington, DC 20004
22		Tel: 202-383-7199
23		Fax: 202-383-6610 evan.werbel@bakerbotts.com
24		Attorneys for Defendants Koninklijke Philips N.V., Lite-
25		On IT Corp. of Taiwan, Philips & Lite-On Digital Solutions Corp., and Philips & Lite-On Digital
26		Solutions U.S.A., Inc.
27		
28		

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JOINT REPORT - Case No.: 3:10-md-2143 RS

1	DATED: Ostalos 22, 2014	DICKETEIN GHADIDO LLD
2	DATED: October 23, 2014	DICKSTEIN SHAPIRO LLP
3		By <u>/s/ Lisa M. Kaas</u> LISA M. KAAS
4		
5		1825 Eye Street NW Washington, DC 20006 Tel: 202-420-2200
6		Tel: 202-420-2200 Fax: 202-420-2201 kaasl@dicksteinshapiro.com
7		
8		Attorneys for Defendants BenQ Corporation and BenQ America Corp.
9	DATED: October 23, 2014	O'MELVENY & MYERS LLP
10	DITIED: October 23, 2014	O WILL VENT & WITERS LEI
11		By <u>/s/ Ian Simmons</u> IAN SIMMONS
12		
13		Ian Simmons James M. Pearl
14		1625 Eye Street NW Washington, DC 20006
15		Tel: 202-383-5106 Fax: 202-383-5414
16		isimmons@omm.com jpearl@omm.com
17 18		Attorneys for Defendants Samsung Electronics Co., Ltd. and Samsung Electronics America, Inc.
19	DATED: October 23, 2014	BOIES SCHILLER & FLEXNER LLP
20		
21		By <u>/s/ John F. Cove, Jr.</u> JOHN F. COVE, JR.
22		1999 Harrison Street, Suite 900
23		Oakland, CA 94612 Tel: 510-874-1000
24		Fax: 510-874-1460 jcove@bsfllp.com
25		Attorneys for Defendants Sony Corporation, Sony
26		Optiarc America, Inc., and Sony Optiarc Inc.
27		
28		
	JOINT REPORT - Case No.: 3:10-md-2143 RS	

1	DATED: October 23, 2014	VINSON & ELKINS LLP
2		By /s/Craig P Soobald
3		By <u>/s/ Craig P. Seebald</u> CRAIG P. SEEBALD
4		2200 Pennyslvania Ave. NW Suite 500 West
5		Washington, DC 20037-1701 Tel: 202-639-6500
6		Fax: 202-879-8950 cseebald@velaw.com
7		Attorneys for Defendant Hitachi, Ltd.
8	DATED: October 22, 2014	DLA PIPER LLP
9	DATED: October 23, 2014	DLA FIFER LLF
10		By <u>/s/ Deanna L. Cairo</u> DEANA L. CAIRO
11		500 8th Street, N.W.
12		Washington, DC 20004 Tel: 202-799-4523
13		Fax: 202-799-4523 Fax: 202-799-5523 Deana.cairo@dlapiper.com
14		
15		Attorneys for Defendant TEAC Corporation and TEAC America Inc.
16	DATED 0 4 1 22 2014	
17	DATED: October 23, 2014	EIMER STAHL LLP
18		By <u>/s/ Nathan P. Eimer</u> NATHAN P. EIMER
19		
20		224 South Michigan Avenue, Suite 100 Chicago, IL 60604
21		Tel: 312-660-7601 Fax: 312-692-1718
22		neimer@eimerstahl.com
23		Attorneys for Defendant LG Electronics, Inc. and LG Electronics USA, Inc.
24		
25	DATED: October 23, 2014	JONES DAY
26		By <u>/s/ Eric P. Enson</u> ERIC P. ENSON
27		ERIC P. ENSON
28		

JOINT REPORT - Case No.: 3:10-md-2143 RS

1		555 South Flower Street, Fiftieth Floor
2		Los Angeles, CA 90071 Tel: 213-489-3939
3		Fax: 213-243-2539 epenson@JonesDay.com
4		Attorneys for Defendant Pioneer Electronics (USA)
5		Inc., Pioneer North America, Inc., Pioneer Corporation, and Pioneer High Fidelity Taiwan Co., LTD.
6		
7	DATED: October 23, 2014	WINSTON & STRAWN LLP
8		By <u>/s/ Jeffrey L. Kessler</u> JEFFREY L. KESSLER
9		
10		200 Park Avenue New York, NY 10166
11		Tel: 212-294-6700 Fax: 212-294-4700
12		jkessler@winston.com
13		Attorneys for Defendant Panasonic Corporation and Panasonic Corporation of North America
14		
15	DATED: October 23, 2014	DRINKER BIDDLE & REATH LLP
16		By <u>/s/ Keith A. Walter Jr.</u> KEITH A. WALTER JR.
17		
18		222 Delaware Avenue, Suite 1410 Wilmington, DE 19801
19		Tel: 302-467-4200 Fax: 302-467-4201
20		keith.walter@dbr.com
21		Attorneys for Defendant Quanta Storage Inc. and Quanta Storage America Inc.
22		
23	DATED: October 23, 2014	KASOWITZ, BENSON, TORRES & FRIEDMAN LLP
24		By /s/ William M. Goodman
25		By <u>/s/ William M. Goodman</u> WILLIAM M. GOODMAN
26		101 California Street, Suite 2300 San Francisco, CA 94111
27		Tel: 415-655-4304 Fax: 415-358-5860
28	JOINT REPORT - Case No.: 3:10-md-2143 RS	wgoodman@kasowitz.com
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